



03/25/2004

14:51

APPLIED OPTOELECTRONICS, INC. → 17037464000

NO. 302

002

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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Commissioner for Patents  
P.O. Box 1450  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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27543

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03/16/2004

APPLIED OPTOELECTRONICS, INC.  
13111 JESS PIRTLE BLVD.  
SUGAR LAND, TX 77478

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

N. STEPHAN KINSELLA

(Depositor's name)

N. A. K.

(Signature)

3-25-04

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/029,008	12/20/2001	James N. Baillargeon	PAT021US	3260

TITLE OF INVENTION: METHOD AND SYSTEM EMPLOYING MULTIPLE REFLECTIVITY BAND REFLECTOR FOR LASER WAVELENGTH MONITORING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	06/16/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
DAVIE, JAMES W	2828	372-032000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. N. STEPHAN KINSELLA  
2. \_\_\_\_\_  
3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

APPLIED OPTOELECTRONICS, INC. SUGAR LAND, TEXAS

Please check the appropriate assignee category or categories (will not be printed on the patent):

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☐ Advance Order - # of Copies \_\_\_\_\_

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☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1705 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

3-25-04

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01 FC:2501

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03/25/2004

14:51

APPLIED OPTOELECTRONICS, INC. → 17037464000

NO.302

001

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Hwang et al.

Serial No.: 10/029,008

Filed: 12/20/2001

For: Method and System Employing Multiple  
Reflectivity Band Reflector for Laser  
Wavelength Monitoring (as amended)

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Group Art Unit: 2828

Examiner: Davie, James W.

Atty. Docket No.: PAT021US

Confirmation No.: 3260

MAIL STOP ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**CERTIFICATION OF TRANSMISSION**  
**DATE OF DEPOSIT: March 25, 2004**

I hereby certify that this correspondence is being facsimile  
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703-746-4000) under 37 CFR 1.8(a) on the date indicated  
above.

Signature of person transmitting:

  
N. STEPHAN KINSELLA

**TRANSMITTAL LETTER**

Enclosed for filing in the referenced case please find the following:

1. Part B - Issue Fee Transmittal (in duplicate).

The Commissioner is hereby authorized to charge any deficiency or credit any overpayment  
to Deposit Account No. 50-1705 in association with this filing.

Respectfully submitted,

Date: March 25, 2004

  
N. Stephan Kinsella, Esq.

Registration No. 37,657  
APPLIED OPTOELECTRONICS, INC.  
13111 Jess Pirtle Boulevard  
Sugar Land, Texas 77478

Tel: 281-295-1800  
Tel: 281-295-1808 (direct)  
Fax: 281-295-1889